



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James R. Trethewey  
Serial No. : 09/955,469  
Filed : September 18, 2001  
Title : LOAD BALANCING AND FAULT TOLERANCE FOR SERVER-BASED  
SOFTWARE APPLICATIONS

Art Unit : 2812  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

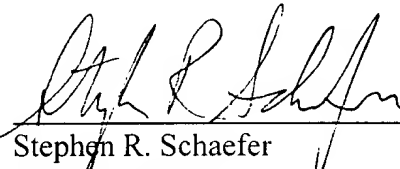
INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: JAN. 3, 2002

  
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Stephen R. Schaefer  
Reg. No. 37,927

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit 1-4-02

Signature Trisha J. Anderson

Trisha J. Anderson  
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Substitute Form PTO 1449 (Modified)  <b>Information Disclosure Statement          by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10559-494001	Application No. 09/955,469
	Applicant James R. Trethewey		
	Filing Date September 18, 2001	Group Art Unit 2812	

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,041,355	03/21/00	Toga			
	AB	6,173,318	01/09/01	Jackson et al.			
	AC	6,182,141	01/30/01	Blum et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Borella et al., "RSIP: Address Sharing with End-to-End Security," Proceedings of the Special Workshop on Intelligence at the Network Edge, March 20, 2000, San Francisco, CA, 9 pgs.
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	